

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of: **Joseph M. KOCHANSKY**

Patent No.: 7,403,918

Issued: July 22, 2008

For: **INVESTMENT PORTFOLIO
COMPLIANCE SYSTEM**

Attention: Certificate of Corrections Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION
PURSUANT TO 37 CFR § 1.322**

Upon review of the U.S. Patent identified above, Patentee has noted an error made by the Office in the publication of the Patent. Specifically, column 10 line 54 should read “plurality of entries related to the at least one rule” rather than “plurality of related to the at least one rule.”

A Certificate of Correction form (PTO/SB/44) detailing this correction is being submitted along with this paper. Patentee respectfully submits that this request for certificate of correction is proper and should be granted.

CERTIFICATE OF TRANSMISSION

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with 37 C.F.R. § 1.6(a)(4).

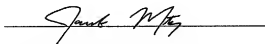
Dated: December 9, 2008

Signature:  (Jacob P. Martinez)

Because the above noted error was an error by the Office, Patentee does not believe that any fee is due with this submission. However, the Director is hereby authorized to charge any fee deficiency to our Deposit Account No. 04-1105, under Order No. 58985(49357).

Respectfully submitted,

Date: December 9, 2008

A handwritten signature in cursive script, appearing to read "Jacob P. Martinez", is written over a horizontal line.

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**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,403,918
APPLICATION NO. : 10/086,769
ISSUE DATE : July 22, 2008
INVENTOR(S) : Joseph M. Kochansky

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 10, line 54, "plurality of related" should be changed to --plurality of entries related--.

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